



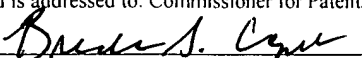
PATENT  
Docket No. 391442004300

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail Label No.: EV 273020372 US

Date of Deposit: March 29, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Brenda Campbell

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Ernest S.Y. WONG and Christen M.  
GIANDOMENICO

Serial No.: 09/678,595

Filing Date: October 4, 2000

For: PLATINUM COMPLEXES AS  
ANTITUMOR AGENTS

Examiner: H. Liu

Group Art Unit: 1624

AMENDMENT AND SUBMISSION OF ADDITIONAL

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 AND 1.312

MS Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants submit copies of the references Nos. 1-4, 8 and 19-38 listed on the PTO Form 1449 (copy attached), originally filed May 7, 2002, in connection with the above-identified application.

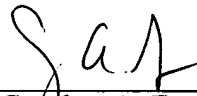
Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, originally filed May 7, 2002, indicating that the information has been considered and made of record herein, as discussed with Garee Haney on March 9, 2004.

Applicants believe the documents are in no way destructive to the patentability of the allowed claims. However, in the interest of completeness, they are submitted herewith.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. §1.17(p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 391442004300. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: March <sup>29</sup>\_\_\_\_, 2004

Respectfully submitted,

By:   
Carolyn A. Favorito  
Registration No. 39,183

Morrison & Foerster LLP  
3811 Valley Centre Drive  
Suite 500  
San Diego, California 92130-2332  
Telephone: (858) 720-5195  
Facsimile: (858) 720-5125

COPY

PTO/SB/08 (2-92)

Sheet 1 of 2

Form PTO-1449

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 391442004300

Application Number 09/678,595

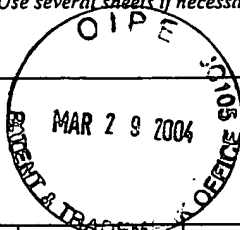
Applicant

Ernest S.Y. WONG and Christen GIANDOMENICO

Filing Date October 4, 2000

Group Art Unit 1624

Mailing Date: May 9, 2002



## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	08/1985	4,533,502	Rochon et al.			
	2.	07/1988	4,760,155	Heffernan	556	136	
	3.	03/1993	5,194,645	Barnard	556	137	

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	4.	10/1984	2137198A	UK			
	5.	10/1988	EP 0287317	EPO			
	6.	03/1995	WO 95/07698	WO			
	7.	08/1996	EP 0727430	EPO			
	8.	09/2000	WO 00/56743	WIPO			
	9.	10/2000	WO 00/61590	WO			

## OTHER DOCUMENTS (including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	10.	Bierbach, U. et al. (1999) <i>Inorg Chem</i> 38(15):3535-3542
	11.	Broomhead, J. et al. (1995) <i>Inorg Chim Acta</i> 240(1-2):13-17
	12.	Matthews, J. et al. (1996) <i>Br J Cancer</i> , Suppl., 74(27):s200-s203
	13.	Muir, M. et al. (1988) <i>Inorg Chim Acta</i> 151(3):209-213
	14.	Rochon, F. et al. (1991) <i>Inorg Chem</i> 30(24):4531-4535
	15.	Saudek, V. et al. (1985) <i>J Inorg Biochem</i> 23(1):55-72
	16.	Skov, K. et al. (1987) <i>Chem-Biol Interact</i> 62(2):117-129
	17.	Skov, K. et al. (1987) <i>Radiat Res</i> 112(2):273-282
	18.	Skov, K. et al. (1990) <i>Int J Radiat Biol</i> 57(5):947-958

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449

Docket Number 391442004300

Application Number 09/678,595

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

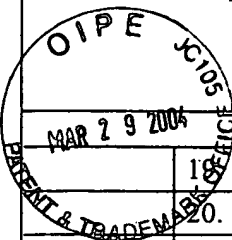
Applicant

Ernest S.Y. WONG and Christen GIANDOMENICO

Filing Date October 4, 2000

Group Art Unit 1624

Mailing Date: May 9, 2002



- |     |  |
|-----|--|
| 18. | Abrams et al., Inorg. Chim. Acta (1987) 131:3-4  |
| 20. | Braddock et al., Chem. Biol. Interactions (1975) 11:145-161  |
| 21. | Courtot et al., J. Organometallic Chem. (1978) 145:343-357   |
| 22. | Giandomenico et al., Inorg. Chem. (1995) 34:1015-1021  |
| 23. | Holford et al., Anti-Cancer Drug Des. (1998) 13:1  |
| 24. | Holford et al., Br. J. Cancer (1998) 77:366-373  |
| 25. | Kelland et al., Cancer Res. (1992) 52:822  |
| 26. | Kelland et al., Cancer Res. (1992) 52:3857   |
| 27. | Kelland et al., Cancer Res. (1993) 53:2581   |
| 28. | Kong and Rochon, Canadian J. Chem. (1978) 56:441-445   |
| 29. | Kong, P.-C. et al. (1978). "Reactions of Potassium Tetrachloroplatinate (II) with Pyridine Derivatives in Dimethylformamide and Synthesis of Potassium Trichloro(pyridine)platinum(II)," <i>Chemical Abstracts</i> 89(4): abstract No.35686. |
| 30. | Kong et al., Can. J. Chem. (1979) 57:682-684   |
| 31. | Raynaud et al., Clin. Cancer Res. (1997) 3:2063-2074   |
| 32. | Rochon, F.D. et al. (1988). "Synthesis and Studies of Platinum (II) Compounds of the Types K' <sup>+</sup> Pt(amine)C13 <sup>-</sup> and 'Pt(amine)(acetonitrile)C12 <sup>-</sup> ," <i>Chemical Abstracts</i> 108(24): abstract No.215224.  |
| 33. | Rochon, F.D. et al., CAN J. CHEM., 64:1894-1896 (1986)   |
| 34. | Rochon, F.D. et al., CAN J. CHEM., 74:2121-2130 (1996)   |
| 35. | Rochon, F.D. et al., CAN J. CHEM., 58: 97-101 (1980)   |
| 36. | Rochon, F.D. et al., CAN J. CHEM., 33:4485-4493 (1994)   |
| 37. | Talman et al., Chemical Abstracts (1997) 126(4):abstract No. 194433  |
| 38. | Wong et al., Chem. Rev. (1999) 9:2451-2466   |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.